

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of: ) Group Art Unit: 2141  
)  
CHAVEZ et al. ) Confirmation No.: 4829  
)  
Serial No.: 10/619,636 ) Examiner: DHARIA, RUPAL  
)  
Filed: July 14, 2003 ) SUPPLEMENTAL INFORMATION  
) DISCLOSURE STATEMENT  
Atty. File No.: 4366-136 )  
) Electronically Submitted  
For: "INSTANT MESSAGING TO AND )  
FROM PBX STATIONS" )

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references cited on attached Form PTO-SB08 are being called to the attention of the Examiner.

☒ Copies of the cited non-patent and/or foreign references are enclosed herewith.  
☐ Copies of the cited U.S. patents and/or patent applications are enclosed herewith.  
☒ Copies of the cited U.S. patents/patent application publications are not enclosed in accordance with 37 C.F.R. § 1.98(a).

☐ Copies of the cited references are not enclosed, in accordance with 37 C.F.R. § 1.98(d), because the references were cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

☐ To the best of applicants' belief, the pertinence of the foreign-language references are believed to be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the accuracy of the translation.

☐ Examiner's attention is drawn to the following co-pending applications, copies of which have been or are being submitted:

Serial No. \_\_\_\_\_ filed \_\_\_\_\_

Serial No. \_\_\_\_\_ filed \_\_\_\_\_

☐ Other: \_\_\_\_\_

Submission of the above information is not intended as an admission that any item is citable under the statutes or rules to support a rejection, that any item disclosed represents analogous art, or that those skilled in the art would refer to or recognize the pertinence of any reference without the benefit of hindsight, nor should an inference be drawn as to the pertinence of the references based on the order in which they are presented. Submission of this statement should not be taken as an indication that a search has been conducted, or that no better art exists.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

### FEES

<input checked="" type="checkbox"/>	<p><b>37 CFR 1.97(b):</b> No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith satisfies one of the following conditions ("X" indicates satisfaction):</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or</li><li><input type="checkbox"/> Within three months of the date of entry into the national stage of an international application as set forth in 37 CFR 1.491 or</li><li><input checked="" type="checkbox"/> Before the mailing date of a first Office Action on the merits, or</li><li><input type="checkbox"/> Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114.</li></ul> <p>Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p>
<input type="checkbox"/>	<p><b>37 CFR 1.97(e):</b> The information disclosure statement transmitted herewith is being filed after all the above conditions (37 CFR 1.97(b)), but before the mailing date of one of the following conditions:</p> <ul style="list-style-type: none"><li>(1) a final action under 37 C.F.R. 1.113 or</li><li>(2) a notice of allowance under 37 C.F.R. 1.311, or</li><li>(3) an action that otherwise closes prosecution in the application</li></ul> <p>This Information Disclosure Statement is accompanied by:</p> <ul style="list-style-type: none"><li><input type="checkbox"/> A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</li></ul> <p style="text-align: center;">OR</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Please charge Deposit Account 19-1970 in the amount of \$180.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account 19-1970.</li></ul>
<input type="checkbox"/>	<p><b>37 CFR 1.97(d):</b> This Information Disclosure Statement is being submitted after the period specified in 37 CFR 1.97(c).</p> <ul style="list-style-type: none"><li><input type="checkbox"/> This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e)</li></ul> <p style="text-align: center;">AND</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Applicants hereby requests consideration of the reference(s) disclosed herein. Please charge Deposit Account 19-1970 in the amount of \$180.00 under 37 C.F.R. 1.17(p). Please credit any overpayment or charge any underpayment to Deposit Account 19-1970. Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.</li></ul>

**Certification (37 C.F.R. 1.97(e))**  
(Applicable only if checked)

- ☐ The undersigned certifies that:
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).
  - ☐ A copy of the communication from the foreign patent office is enclosed.

OR

- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

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By: \_\_\_\_\_

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Date: 9 Jan 07

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**Complete if Known**

Application Number	10/619,636
Filing Date	07/14/03
First Named Inventor	Chavez
Art Unit	2141
Examiner Name	DHARIA, RUPAL
Attorney Docket Number	4366-136

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## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	4,277,649	7/7/1981	Sheinbein	
	2	5,206,903	4/27/1993	Kohler et al.	
	3	5,247,571	9/21/1993	Kay, et al.	
	4	5,339,356	8/16/1994	Ishii	
	5	5,386,459	1/31/1995	Veeneman et al.	
	6	5,425,077	6/13/1995	Tsoi	
	7	5,572,572	11/5/1996	Kawan et al.	
	8	5,615,257	3/25/1997	Pezzullo et al.	
	9	5,659,603	8/19/1997	Orlofsky	
	10	5,794,156	8/11/1998	Alanara	
	11	5,828,747	10/27/1998	Fisher et al.	
	12	5,905,793	5/18/1999	Flockhart et al.	
	13	5,956,655	9/21/1999	Suzuki et al.	
	14	5,982,873	11/9/1999	Flockhart et al.	
	15	5,999,827	12/7/1999	Sudo et al.	
	16	6,038,302	3/14/2000	Burok et al.	
	17	6,044,403	3/28/2000	Gerszberg et al.	
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	20	6,173,053	1/9/2001	Bogart et al.	
	21	6,192,122	2/20/2001	Flockhart et al.	
	22	6,204,848	3/20/2001	Nowlan et al.	
	23	6,215,474	4/10/2001	Shah	
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	26	6,546,004	4/8/2003	Gullicksen	
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	28	6,554,707	4/29/2003	Sinclair et al.	
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	30	6,823,197	11/23/2004	Chen et al.	
	31	6,920,339	7/19/2005	Choy et al.	
	32	7,031,443	4/18/2006	St-Onge et al.	
	33	2002/0122547	9/5/2002	Hinchey et al.	
	34	2002/0137490	9/26/2002	Gallant	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
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				First Named Inventor	Chavez
				Art Unit	2141
				Examiner Name	DHARIA, RUPAL
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	35	2003/0231748	12/18/2003	Novelline	
	36	2005/0144008	6/30/2005	Groves et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> ; Number <sup>4</sup> ; Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	37	JP 05-260176	10/8/1993	Fujitsu Ltd		Abst
	38	JP 09-135320	5/20/1997	Canon Inc		Abst

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Examiner Initials*	Cite No. <sup>1</sup>	
	39	DEFINITY. RTM., Enterprise Communicatins Server, Release 6, Administration and Feature Description, "Terminal Translation Initialization," pp. 4-562 to 4-569, Aug. 19907. cited by other .
	40	DEFINITY.RTM., Enterprise Communications Server, Release 6, Administration and Feature Description, "Bridged Call Appearance," pp. 4-109 to 4-124, Aug. 1997. cited by other .
	41	Product Brochure, "Avaya Converged Communications Server," (2004), 6 pages.
	42	GREENFIELD, David, "Avaya's SIP-Standardized IP Telephony" Network Magazine (Mar. 15, 2004), available at <a href="http://www.networkmagazine/shared/article/showArticle.jhtml?articleId=18200469">http://www.networkmagazine/shared/article/showArticle.jhtml?articleId=18200469</a> , 2 pages.
	43	3GPP Specifications: Plenary Meeting Details (printed 3/30/04) available at <a href="http://www.3gpp.org/ftp/Specs/html-info/PlenaryMeetings.htm">http://www.3gpp.org/ftp/Specs/html-info/PlenaryMeetings.htm</a> , 44 pages.
	44	KISS, Krisztian, "Signalling flows for the IP multimedia call control based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3" 3GPP TS Specification: 24.228 (printed 3/30/04) available at <a href="http://www.3gpp.org/ftp/Specs/html-info/24228.htm">http://www.3gpp.org/ftp/Specs/html-info/24228.htm</a> , 2 pages.
	45	DRAGE, Keith, "Internet Protocol (IP) multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3" 3GPP TS Specification: 24.229" (printed 3/30/04) available at <a href="http://www.3gpp.org/ftp/Specs/html-info/24229.htm">http://www.3gpp.org/ftp/Specs/html-info/24229.htm</a> , 2 pages.

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/619,636
				Filing Date	07/14/03
				First Named Inventor	Chavez
				Art Unit	2141
				Examiner Name	DHARIA, RUPAL
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	46	SEGEV, Uri, "AD405 SIP - What Can you Do With It?" IMB The Workplace for Innovation, Lotus Software, (undated) 49 pages.
	47	LEKTENIUS, Johan, "ISN Major Project," University of Technology, Sydney, Faculty of Engineering (2002), 30 pages.
	48	Examiner's Report for Canadian Patent Application No. 2462316, mailed August 2, 2006 (4366-136-CA)

Examiner Signature		Date Considered	
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